

# National Center for Education Statistics

IPEDS Data Center

## University of Connecticut

**UnitID** 129020

**OPEID** 00141700

**Address** 352 Mansfield Road, Storrs, CT, 06269

**Web Address** [www.uconn.edu](http://www.uconn.edu)

Institution: University of Connecticut (129020)

**Parent child allocation factors-full children**

The [Finance survey](#) report submitted under this UnitID is a combined report that includes data for all the locations listed below. Because this is a combined report, you must provide allocation factors that indicate how the data should be distributed among all included institutions. Allocation factors are percents. The allocation factors you provide will be used to create derived variables for the IPEDS Peer Analysis System to allocate reported data to each component location.

Enter allocation factors in the spaces provided; use whole numbers only. Percents must add to 100%. You may not leave any cell blank. You will not be able to lock your data if this section is not completed.

UnitID	Name of Institution	City, State	Allocation factor	2010-11 Allocation factor
129020	University of Connecticut	Storrs, CT	<input type="text" value="100"/> %	100
436818	University of Connecticut-Tri-Campus	Waterbury, CT	<input type="text" value="0"/> %	0
436827	University of Connecticut-Avery Point	Groton, CT	<input type="text" value="0"/> %	0
436836	University of Connecticut-Stamford	Stamford, CT	<input type="text" value="0"/> %	0
		<b>Total:</b>	<input type="text"/>	

If this list of institutions is not complete, or is incorrect in any way, or if you would like to report data for each component institution instead of submitting a combined report, or if you have any questions or concerns, please call the Help Desk at **1-877-225-2568**.

Caveats:

**Finance - Public institutions**

**Reporting Standard**

**Please indicate which reporting standards are used to prepare your financial statements:**

GASB (Governmental Accounting Standards Board), using standards of GASB 34 & 35

FASB (Financial Accounting Standards Board)

**Please consult your business officer for the correct response before saving this screen.** Your response to this question will determine the forms you will receive for reporting finance data.

**Finance - Public institutions****General Information****GASB-Reporting Institutions (aligned form)**

To the extent possible, the finance data requested in this report should be provided from your institution's audited General Purpose Financial Statements (GPFS). Please refer to the instructions specific to each screen of the survey for details and references.

**1. Fiscal Year Calendar**

**This report covers financial activities for the 12-month fiscal year:** (The fiscal year reported should be the most recent fiscal year ending before October 1, 2011.)

Beginning: month/year (MMYYYY)    Month:     Year:

And ending: month/year (MMYYYY)    Month:     Year:

**2. Audit Opinion**

Did your institution receive an unqualified opinion on its General Purpose Financial Statements from your auditor for the fiscal year noted above? (If your institution is audited only in combination with another entity, answer this question based on the audit of that entity.)

Unqualified

Qualified  
(Explain in  
box below)

Don't know  
(Explain in  
box below)

**3. Reporting Model**

GASB Statement No. 34 offers three alternative reporting models for special-purpose governments like colleges and universities. Which model is used by your institution ?

Business Type Activities

Governmental Activities

Governmental Activities with Business-Type Activities

**4. Intercollegiate Athletics**

If your institution participates in intercollegiate athletics, are the expenses accounted for as auxiliary enterprises or treated as student services?

Auxiliary enterprises

Student services

Does not participate in intercollegiate athletics

Other (specify in box below)

**5. Endowment Assets**

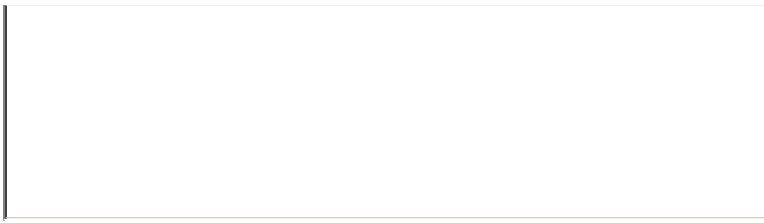
Does this institution or any of its foundations or other affiliated organizations own endowment assets ?

Yes - (report endowment assets)

No

**You may use the space below to provide context for the data you've reported above.**

Part H: Details of Endowment Assets include those held by the affiliated Foundation, included as a component unit in the financial statements and the University's portion of another affiliated Foundation not included as a component unit in the financial statements. The University has combined the financial data with the University Health Center since fiscal year 2009.



**Part A - Statement of Net Assets**

Fiscal Year: July 1, 2010 - June 30, 2011

Line no.		Current year amount	Prior year amount
	<b>Current Assets</b>		
01	Total current assets	701,428,719	784,280,813
	<b>Noncurrent Assets</b>		
31	Depreciable capital assets, net of depreciation	1,546,636,819	1,562,010,288
04	Other noncurrent assets CV=[A05-A31]	924,649,272	958,591,734
05	Total noncurrent assets	2,471,286,091	2,520,602,022
06	Total assets CV=(A01+A05)	3,172,714,810	3,304,882,835
	<b>Current Liabilities</b>		
07	Long-term debt, current portion	82,850,669	98,009,657
08	Other current liabilities CV=(A09-A07)	289,762,416	278,385,712
09	Total current liabilities	372,613,085	376,395,369
	<b>Noncurrent Liabilities</b>		
10	Long-term debt	996,228,595	1,079,151,122
11	Other noncurrent liabilities CV=(A12-A10)	68,908,353	59,168,589
12	Total noncurrent liabilities	1,065,136,948	1,138,319,711
13	Total liabilities CV=(A09+A12)	1,437,750,033	1,514,715,080
	<b>Net Assets</b>		
14	Invested in capital assets, net of related debt	1,422,787,359	1,374,973,378
15	Restricted-expendable	73,845,120	175,384,309
16	Restricted-nonexpendable	11,953,936	11,183,710
17	Unrestricted CV=[A18-(A14+A15+A16)]	226,378,362	228,626,358
18	Total net assets CV=(A06-A13)	1,734,964,777	1,790,167,755

You may use the space below to provide context for the data you've reported above.

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**Part A - Statement of Net Assets (Page 2)**

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Description	Ending balance	Prior year Ending balance
	<b>Capital Assets</b>		
21	Land & land improvements	26,946,624	23,250,070
22	Infrastructure	216,391,962	206,875,835
23	Buildings	2,037,752,483	1,967,273,085
32	Equipment, including art and library collections	634,181,548	651,438,130
27	Construction in progress	132,552,389	119,307,505
	<b>Total for Plant, Property and Equipment CV = (A21+ .. A27)</b>	3,047,825,006	2,968,144,625
28	Accumulated depreciation	1,355,680,032	1,298,898,090
33	Intangible assets, net of accumulated amortization	5,411,649	5,395,181
34	Other capital assets	0	0

You may use the space below to provide context for the data you've reported above.

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**Part B - Revenues and Other Additions**

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Source of Funds	Current year amount	Prior year amount
<b>Operating Revenues</b>			
01	Tuition & fees, after deducting discounts & allowances	246,976,074	235,929,005
Grants and contracts - operating			
02	Federal operating grants and contracts	162,745,118	151,539,703
03	State operating grants and contracts	33,862,202	32,408,742
04	Local government/private operating grants and contracts	29,787,198	31,838,238
04a	Local government operating grants and contracts	477,334	119,666
04b	Private operating grants and contracts	29,309,864	31,718,572
05	Sales & services of auxiliary enterprises, after deducting discounts & allowances	173,099,595	162,010,417
06	Sales & services of hospitals, after deducting patient contractual allowances	266,254,233	247,226,483
26	Sales & services of educational activities	15,843,008	15,169,276
07	Independent operations	182,356,936	181,856,099
08	Other sources - operating CV=[B09-(B01+ ....+B07)]	56,119,794	44,603,283
09	Total operating revenues	1,167,044,158	1,102,581,246



**Part B - Revenues and Other Additions**

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Source of funds	Current year amount	Prior year amount
	<b>Nonoperating Revenues</b>		
10	Federal appropriations	0	0
11	State appropriations	554,219,350	543,945,657
12	Local appropriations, education district taxes, & similar support	0	0
	Grants-nonoperating		
13	Federal nonoperating grants	21,582,713	16,259,653
14	State nonoperating grants	0	0
15	Local government nonoperating grants	0	0
16	Gifts, including contributions from affiliated organizations	23,671,141	19,682,769
17	Investment income	1,136,181	3,800,164
18	Other nonoperating revenues CV=[B19-(B10+...+B17)]	39,978,225	38,557,064
19	Total nonoperating revenues	640,587,610	622,245,307
27	Total operating and nonoperating revenues CV=[B19+B09]	1,807,631,768	1,724,826,553
28	<b>12-month Student FTE from E12</b> CV=[B28a+B28b]	23,496	
	28a Undergraduates	17,543	
	28b Graduates	5,953	
29	Total operating and nonoperating revenues per student FTE CV=[B27/B28]	76,934	

**Part B - Revenues and Other Additions**

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Source of funds	Current year amount	Prior year amount
	Other Revenues and Additions		
20	Capital appropriations	169,542	97,324,293
21	Capital grants & gifts	1,989,313	2,396,433
22	Additions to permanent endowments	17,750	52,200
23	Other revenues & additions CV=[B24-(B20+...+B22)]		0
24	Total other revenues and additions	2,176,605	99,772,926
25	Total all revenues and other additions CV=[B09+B19+B24]	1,809,808,373	1,824,599,479

You may use the space below to provide context for the data you've reported above.

Line 28, 12-month Student FTE from E12: does not include undergraduate 580 FTE from Avery Point Campus, Unit ID 436827, 1,036 FTE from Stamford Campus, Unit ID 436836, and 2,104 FTE from Tri-Campus, Unit ID 436818.

**Part C - Expenses and Other Deductions**

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Description	1 Total amount	2 Salaries & wages	3 Employee fringe benefits	4 Operation and maintenance of plant	5 Depreciation	6 Interest	7 All other	8 PY Total Amount
01	Instruction	476,443,333	258,700,942	80,854,154	17,815,133	23,162,632	14,058,800	81,851,672	448,684,530
02	Research	167,696,427	72,470,773	17,328,753	13,148,335	17,746,923	5,891,444	41,110,199	164,701,742
03	Public service	73,144,800	38,326,341	12,707,942	1,357,837	1,283,251	2,783,897	16,685,532	55,821,566
05	Academic support	145,202,795	63,144,473	24,365,206	10,874,440	15,694,941	4,244,700	26,879,035	133,798,794
06	Student services	53,900,477	24,893,640	9,510,012	9,070,548	2,907,275	1,719,392	5,799,610	56,090,497
07	Institutional support	199,557,838	88,916,127	43,550,929	29,046,567	19,268,440	4,542,419	14,233,356	188,019,679
08	Operation & maintenance of plant (see instructions)	0	29,480,544	17,909,469	-117,970,703	8,001,177	1,894,829	60,684,684	0
10	Scholarships and fellowships expenses, excluding discounts & allowances	5,906,389						5,906,389	5,117,514
11	Auxiliary enterprises	218,513,078	64,317,518	24,724,825	26,808,058	22,141,456	15,103,175	65,418,046	205,447,245
12	Hospital services	282,846,710	95,631,329	42,155,396	9,056,965	9,498,680	149,794	126,354,546	271,725,104
13	Independent operations	194,979,253	114,907,591	57,451,963	792,820	1,183,155	0	20,643,724	190,157,686
14	Other expenses & deductions CV=[C19-(C01+...+C13)]	46,820,251	259,418	85,810	0	4,220	5,376	46,465,427	45,117,093
19	Total expenses & deductions	1,865,011,351	851,048,696	330,644,459	0	120,892,150	50,393,826	512,032,220	1,764,681,450
	Prior year amount	1,764,681,450	811,728,456	309,260,121		118,957,677	50,922,336	473,812,860	
20	12-month Student FTE from E12 CV=[C20a+C20b]	23,496							
	20a Undergraduates	17,543							
	20b Graduates	5,953							
21	Total expenses and deductions per student FTE CV=[C19/C20]	79,376							

You may use the space below to provide context for the data you've reported above.

Line 20 does not include undergraduate student FTE from Avery Point Campus, Stamford Campus, and the Tri-Campus.



**Part D - Summary of Changes In Net Assets**

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Description	Current year amount	Prior year amount
01	Total revenues & other additions (from B25)	1,809,808,373	1,824,599,479
02	Total expenses & deductions (from C19)	1,865,011,351	1,764,681,450
03	Change in net assets during year CV=(D01-D02)	⚠ -55,202,978	59,918,029
04	Net assets beginning of year	1,790,167,755	1,729,483,219
05	Adjustments to beginning net assets and other gains or losses CV=[D06-(D03+D04)]	0	766,507
06	Net assets end of year (from A18)	1,734,964,777	1,790,167,755

You may use the space below to provide context for the data you've reported above.

**Part E - Scholarships and Fellowships**

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Source	Current year amount	Prior year amount
01	Pell grants (federal)	21,582,713	16,259,653
02	Other federal grants	3,320,322	2,737,996
03	Grants by state government	12,894,759	13,105,134
04	Grants by local government	0	0
05	Institutional grants from restricted resources	7,650,788	4,922,613
06	Institutional grants from unrestricted resources <b>CV=[E07-(E01+...+E05)]</b>	122,037,745	113,651,656
07	Total gross scholarships and fellowships	167,486,327	150,677,052
<b>Discounts and Allowances</b>			
08	Discounts & allowances applied to tuition & fees	158,340,310	142,568,887
09	Discounts & allowances applied to sales & services of auxiliary enterprises <b>CV= (E10-E08)</b>	3,239,628	2,990,651
10	Total discounts & allowances <b>CV=(E07-E11)</b>	161,579,938	145,559,538
11	Net scholarships and fellowships expenses after deducting discount & allowances <b>(from C10)</b>	5,906,389	5,117,514

You may use the space below to provide context for the data you've reported above.

Line 6 and Line 8 include waiver of tuition and fees \$44,916,740 and \$43,249,612 in fiscal years 2011 and 2010 except for the waiver related to employees and their dependents.

**Part H - Details of Endowment Assets**

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Value of Endowment Assets	Market Value	Prior Year Amounts
Include not only endowment assets held by the institution, but any assets held by private foundations affiliated with the institution.			
01	Value of endowment assets at the beginning of the fiscal year	263,612,639	245,845,448
02	Value of endowment assets at the end of the fiscal year	306,301,007	263,612,639

You may use the space below to provide context for the data you've reported above.

**Summary****Finance Survey Summary**

IPEDS collects important information regarding your institution. All data reported in IPEDS survey components become available in the IPEDS Data Center and appear as aggregated data in various Department of Education reports. Additionally, some of the reported data appears specifically for your institution through the College Navigator website and is included in your institution's Data Feedback Report (DFR). The purpose of this summary is to provide you an opportunity to view some of the data that, when accepted through the IPEDS quality control process, will appear on the College Navigator website and/or your DFR. College Navigator is updated approximately three months after the data collection period closes and Data Feedback Reports will be available through the ExPT and sent to your institution's CEO in November 2012.

Please review your data for accuracy. If you have questions about the data displayed below after reviewing the data reported on the survey screens, please contact the IPEDS Help Desk at: 1-877-225-2568 or [ipedshelp@rti.org](mailto:ipedshelp@rti.org).

**Core Revenues**

Revenue Source	Reported values	Percent of total core revenues	Core revenues per FTE enrollment
Tuition and fees	\$246,976,074	21%	\$10,511
Government appropriations	\$554,219,350	47%	\$23,588
Government grants and contracts	\$218,667,367	18%	\$9,307
Private gifts, grants, and contracts	\$52,981,005	4%	\$2,255
Investment income	\$1,136,181	0%	\$48
Other core revenues	\$114,117,632	10%	\$4,857
Total core revenues	\$1,188,097,609	100%	\$50,566
Total revenues	\$1,809,808,373		\$77,026

Core revenues include tuition and fees; government appropriations (federal, state, and local); government grants and contracts; private gifts, grants, and contracts; investment income; other operating and nonoperating sources; and other revenues and additions. Core revenues exclude revenues from auxiliary enterprises (e.g., bookstores, dormitories), hospitals, and independent operations.

**Core Expenses**

Expense function	Reported values	Percent of total core expenses	Core expenses per FTE enrollment
Instruction	\$476,443,333	41%	\$20,278
Research	\$167,696,427	14%	\$7,137
Public service	\$73,144,800	6%	\$3,113
Academic support	\$145,202,795	12%	\$6,180
Institutional support	\$199,557,838	17%	\$8,493
Student services	\$53,900,477	5%	\$2,294
Other core expenses	\$52,726,640	5%	\$2,244
Total core expenses	\$1,168,672,310	100%	\$49,739
Total expenses	\$1,865,011,351		\$79,376



### Core Expenses

Core expenses include expenses for instruction, research, public service, academic support, institutional support, student services, operation and maintenance of plant, depreciation, scholarships and fellowships expenses, other expenses, and nonoperating expenses.

	Calculated value
FTE enrollment	23,496

The full-time equivalent (FTE) enrollment used in this report is the sum of the institution's FTE undergraduate enrollment and FTE graduate enrollment (as calculated from or reported on the 12-month Enrollment component). FTE is estimated using 12-month instructional activity (credit and/or contact hours). All doctor's degree students are reported as graduate students.

